

Transaction form for Purchase, Redemption & Switch

Please fill in the information legibly in English & CAPITAL LETTERS



1. FINANCIAL ADVISOR INFORMATION				
Financial Advisor	Sub ARN Code	Sub Code	EUI No.*	RM Code

Time Stamp

*Please sign below in case the EUI No. is left blank/not provided/transaction is "execution-only" in nature.

☐ I/We hereby confirm that the EUI No. box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction"

SIGN HERE

First Account Holder	Second Account Holder	Third Account Holder
----------------------	-----------------------	----------------------

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

2. Investor Details												
Folio/Account No.: <input type="text"/>												
		Name				PAN No.				KYC Copy		
Name of First applicant										<input type="checkbox"/>		
Name of Guardian (In case of Minor)										<input type="checkbox"/>		
Name of Second Applicant										<input type="checkbox"/>		
Name of Third Applicant										<input type="checkbox"/>		

3. Unitholding Option <input type="checkbox"/> Demat Mode <input type="checkbox"/> Physical Mode												
Demat Account Details - (Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with any one of the Depository Participant)												
Demat Account details are compulsory if demat mode is opted above.												
National Securities Depository Limited		Depository participant Name				Central Depository Securities Limited		Depository participant Name				
Depository ID No.		<input type="text"/>				Target ID No.		<input type="text"/>				
Beneficiary Account No.		<input type="text"/>										
Enclosures (Please tick any one box): <input type="checkbox"/> Client Master List (CML) <input type="checkbox"/> Transaction cum Holding Statement <input type="checkbox"/> Cancelled Delivery Instruction Slip (DIS)												

4. Additional Purchase												
Cheque / DD No. <input type="text"/> Date <input type="text"/> DD Charges Rs. <input type="text"/> Cheque / DD Net Amount Rs. <input type="text"/>												
Bank Name <input type="text"/> Branch: <input type="text"/> City <input type="text"/>												
Scheme <input type="text"/> Plan <input type="text"/> Option <input type="text"/>												

5. Switch												
<input type="checkbox"/> Partial Switch										OR		<input type="checkbox"/> All Units
Amount Rs. <input type="text"/> or Units : <input type="text"/>												
From Scheme <input type="text"/>				Plan <input type="text"/>				Option <input type="text"/>				
To Scheme <input type="text"/>				Plan <input type="text"/>				Option <input type="text"/>				

6. Redemption												
<input type="checkbox"/> Partial Redemption										OR		<input type="checkbox"/> All Units
Scheme <input type="text"/> Plan <input type="text"/> Option <input type="text"/>												
Amount Rs. <input type="text"/> or Units : <input type="text"/>												

*Please specify the bank details in which your wish to receive the redemption proceeds.

*Bank Account No: Bank Name:

(Kindly note that bank account should be one of the registered bank account in the folio. Else by default the redemption proceeds will be credited into the default bank account. Also this cannot be treated as change of bank mandate.)

DECLARATION												
<p>*I/We have understood the contents of the Offer document and addenda issued till date and apply to the Trustees of UTI Mutual Fund as indicated above. I/ We agree to abide by the terms and conditions, rules and regulations of the scheme as on the date of investment. I/ We undertake to confirm that the applicant/unit holder is empowered to invest/ disinvest and the signatories have necessary authorization to invest/disinvest on behalf of applicant/ unit holder. I/We undertake to confirm that this investment has been duly authorized by appropriate authorities in terms of all relevant documents and procedural requirements. I/ We have not received nor been induced by any rebate or gifts, directly or indirectly in making investment. * I/ We confirm that we are Non residents of Indian Nationality/ Origin and that the funds are remitted from abroad through approved banking channels or from my/ our funds from my/ our NRE/ NRO account. I/ We undertake to provide further details of source of funds and any such other relevant document, if called by UTI Mutual Fund. I/we authorize UTI Mutual Fund, UTI AMC Ltd./its Registrars to refer details related to Aadhaar number to any of the appropriate authorities including UIDAI/ KYC Registration Agency / Authentication Agencies etc. and also authorize such agencies including UIDAI to share the data as per their records, for verification purpose</p> <p>The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us</p> <p style="text-align: right;">*Applicable to NRIs.</p>												

Mobile No.: <input type="text"/>	Email ID: <input type="text"/>
AADHAR <input type="text"/>	<input type="text"/>

SIGN HERE

First Account Holder	Second Account Holder	Third Account Holder
----------------------	-----------------------	----------------------

Acknowledgement for submission of Purchase / Redemption / Switch request (to be filled by the unitholder)

Received, subject to verification, Request for ☐ Purchase ☐ Redemption ☐ Switch

from Mr/ Mrs/ Ms :

Folio No :

Time Stamp

Scheme : Amount/Units

