

BAJAJ FINANCE LIMITED

Rated CRISIL AAA/ STABLE and [ICRA]AAA(Stable)

Rate of Interest per annum valid up to ₹3 Crores per deposit (w.e.f. 17nd September 2024)

Regular Period	Cumulative	Non-Cumulative			
	At Maturity	Monthly	Quarterly	Half Yearly	Annual
12 - 23 months	7.60%	7.35%	7.39%	7.46%	7.60%
24 - 35 months	7.80%	7.53%	7.58%	7.65%	7.80%
36 - 60 months	7.70%	7.44%	7.49%	7.56%	7.70%

Minimum deposit is ₹ 15,000/-

PLEASE FILL IN BLOCK LETTERS AND TICK WHERE REQUIRED ☒

Date:

D

D

M

M

Y

Y

Y

Y

Place:

Application Form No.:

Sourcing Channel:

Broker Code:

2

0

0

2

9

4

Sub Broker Code:

Investment details

1. Investment

Fresh☐Renewal☐If renewal, existing deposit no.

2. Mode of Payment

(Not applicable for renewal application)

☐RTGS/NEFT

Account Name: Bajaj Finance Ltd Fixed Deposit Coll Ac
Account No./Client Code: BFLFD8
IFSC Code: HDFC0004989
Bank Name: HDFC Bank Ltd
Branch: Kanjurmarg, Mumbai

☐Cheque

Account payee cheque favoring
"Bajaj Finance Ltd. A/c 00070350006738"
(Name of 1st holder shall appear on the
cheque. Else bank statement required)*

Note: Cancelled cheque mandatory for online transfer of funds

Cheque/UTR No.

Cheque/Transaction date

D

D

M

M

Y

Y

Y

Y

Bank Account No.

IFSC

Bank Name

Branch

Deposit Details

Deposit Amount
(in figures)

☐ 15,000

☐ 50,000

☐ 1,00,000

☐ 2,00,000

☐ 3,00,000

☐ 5,00,000

☐ Other

Deposit Amount
(in words)

Deposit period
(Months)

☐ 12

☐ 24

☐ 36

☐ 48

☐ 60 (Recommended)

☐ other tenor

Tenor
(in words)

Interest Payout Instruction

Cumulative Scheme

☐ At maturity alongwith principal
(Recommended for maximum savings)

Non-Cumulative Scheme

☐ Annually☐ Half-yearly

☐ Quarterly☐ Monthly

Instruction on maturity

☐ Renew Principal + Interest (recommended)

☐ Renew Principal only

☐ Pay at maturity (default if not selected)

Applicant details

Type of applicant

☐ Trust☐ Association of Persons☐ Society☐ Club

Name

Date of Incorporation
/Registration/Formation

D

D

M

M

Y

Y

Y

Y

Landline

S

T

D

PAN

Date of Commencement of Business

D

D

M

M

Y

Y

Y

Y

Country of Incorporation/ Formation

LEI Number

LEI Renewal Date

D

D

M

M

Y

Y

Y

Y

TIN/ GST Registration Number

Correspondence Address/
Local Address in India

Pin

City

State

Registered Address

Pin

City

State

Email

Number of Related Persons

("Related persons" consists of Director, Promoter, Trustee, Partner, Court Appointed Official, Beneficiary, Authorised signatory, BO, PoA holder, etc.)

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Application Form No.:

FATCA/CRS Details for non-Individual Applicants (mandatory)

Name of the entity

Type of address given at KYC: Residential or Business ☐ Residential ☐ Business ☐ Registered Office ☐

Note: "Address of tax residence would be taken as available in KRA (KYC Registration Agency) database.

In case of any change, please approach KRA & notify the changes"

PAN Date of Incorporation/Registration/Formation

LEI Number LEI Renewal Date

City of incorporation..... Country of incorporation.....

Contact No. Email ID

Entity Constitution Type: ☐ Trust ☐ Association of Persons ☐ Society ☐ Club

Please tick the applicable tax resident declaration -

Is "Entity" a tax resident of any country other than India? Yes ☐ No ☐

If 'yes', please fill below details for all countries (other than India) in which the entity is a resident for tax purposes as well as Ultimate Beneficiary Ownership (UBO) declaration on the next page

Country of tax residency	Tax Identification number or functional equivalent	Identification type (TIN or other, please specify)*

*If TIN is not available please tick reason A, B or C

Reason A - The country where applicant is liable to pay taxes does not issue Tax Identification number to its residents

Reason B - No TIN required (Select this only if the authorities of the respective country of tax residence do not require TIN to be collected)

Reason C - Any other reason

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person,

mention Entity's exemption code here.....

FATCA & CRS Declaration

(Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

In case the Entity Country of Incorporation/Tax residence is US but entity is not specified US person mention entity exemption code

Entity exemption code are provided below in table which can be enclosed with application form for ease of customer to mention the exemption code same.

Code	Sub category	Code	Sub category
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)	G	A real estate investment trust
B	The United States or any of its agencies or instrumentalities	H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities	I	A common trust fund as defined in section 584(a)
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)	J	A bank as defined in section 581
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)	K	A broker
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state	L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
		M	A tax exempt trust under a section 403(b) plan or section 457(g) plan

PART A [to be filled by Financial Institutions or Direct Reporting Non-Financial Entities (NFEs)]

We are a: Financial institution ☐ OR Direct reporting NFE ☐	GIIN (consists of 19 characters) GIIN is applied but not yet issued ☐ Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below Name of sponsoring entity.....
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If GIIN not available and If the entity is a financial institution(tick whichever is applicable): Not required to apply for ☐ Not obtained - Non-participating FI ☐

PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

1.	Is the Entity an active NFE	Yes ☐ (If yes, please fill UBO declaration in the next section.) No ☐ Nature of Business.....
2.	Is the Entity a passive NFE	Yes ☐ (If yes, please fill UBO declaration in the next section.) No ☐ Nature of Business.....

KYC DOCUMENTATION FOR OPENING ACCOUNT

Please note that these guidelines are based on the RBI Master Directions - Know Your Customer (KYC) Direction, 2016 updated as on January 04, 2024 and the PMLA and UIDAI Notifications issued thereafter.

(A) KYC Documents for an Account of Individual, and for Karta, Trustee, Partner, Proprietor, Authorised Signatory, Power of Attorney Holder:

- (1) One recent **Photograph**
- (2) **PAN** or Form 60 if PAN is not allotted
- (3) **Certified Copy** * of one of the Officially Valid Documents (OVDs) listed below:

Sr. No.	Proof of Identity (PoI)	Proof of Address (PoA)
i	Valid Passport	Valid Passport
ii	Valid Driving License	Valid Driving License
iii	Voter's Identity Card issued by Election Commission of India	Voter's Identity Card issued by Election Commission of India
iv	Proof of possession of Aadhaar Number (i.e. Aadhaar letter downloaded from UIDAI website, Aadhaar card) **	Proof of possession of Aadhaar Number (i.e. Aadhaar letter downloaded from UIDAI website, Aadhaar card) **
v	Job Card issued by NREGA duly signed by an officer of the State Government	Job Card issued by NREGA duly signed by an officer of the State Government
vi	-	Letter issued by the National Population Register containing details of Name, Address of the customer

* Obtaining a **Certified Copy** by **Reporting Entity** (this includes our Company) means **comparing** the copy of Officially Valid Document (OVD) so produced by the client (i.e. customer) **with its Original** and recording the same on the copy by the authorised officer of the Reporting Entity”

** To Ensure that the **first Eight Digits of Aadhaar No.** (on copy of Aadhaar Letter/Aadhaar Card obtained), must be **redacted or blackened** and it is **not legible** and the Aadhaar No. should **not be entered/stored in any system**.

- (4) In case **OVD** does not have **Current Address** of the client, obtain below listed documents which are treated as **Deemed to be Officially Valid Documents** (DOVD) for the limited purpose of **Proof of Address**:

Sr. No.	Proof of Address (PoA)
i	Utility bill , in the name of the client, which is not more than two months old of any service provider (Electricity, Telephone, Post-paid Mobile Phone, Piped Gas, Water bill)
ii	Property or Municipal tax receipt
iii	Pension or Family Pension Payment Orders (PPOs) issued to retired employees by Government Departments or Public-Sector Undertakings, if they contain the address
iv	Letter of Allotment of Accommodation from Employer issued by State Government or Central Government Departments, Statutory or Regulatory Bodies, Public Sector Undertakings, Scheduled Commercial Banks, Financial Institutions and Listed Companies, and Leave & License Agreements with such employers allotting official accommodation

In case a client submits Deemed to be OVD (DOVD) towards Current Address, client must submit an OVD mentioned in **(A)(3)**, updated with Current Address, within **three months** of submission of the DOVD.

(B) KYC Documents for an Account of TRUST:

Sr. No.	Document Name
i	Registration Certificate
ii	Trust Deed
iii	PAN / Form 60 of the Trust
iv	One recent Photograph, PAN or Form 60, and valid OVD of the person holding an Attorney to transact on its behalf as per point (A).
v	Beneficial Ownership Declaration & KYC documents of BO as per Point (A)
vi	Document specifying the names of the beneficiaries, trustees, settler and authors of the trust
vii	Document specifying the address of the registered office of the trust
viii	Document specifying list of trustees and documents, as specified in Section 16, for those discharging the role as trustee and authorised to transact on behalf of the trust

(C) KYC Documents for an Account of an UNINCORPORATED ASSOCIATION OR BODY OF INDIVIDUALS:

Sr. No.	Document Name
i	Resolution of the Managing Body of such Association or Body of Individuals
ii	Permanent Account Number or Form No. 60 of the unincorporated association or a body of individuals
iii	Power of Attorney (POA) granted to attorney holder to transact on its behalf
iv	One recent Photograph, PAN/ Form 60 and valid OVD of the person holding an Attorney to transact on its behalf. (Attorney holder means Manager, Officer, Employee, Authorised Signatory, etc. holding an Attorney to transact on behalf of the client as mentioned in Point (A))
v	Such information as may be required to collectively establish the legal existence of such Association or Body of Individuals
vi	Beneficial Ownership Declaration & KYC documents of BO as per Point (A)

Date:

D	D	M	M	Y	Y	Y	Y
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Deposit ID (for existing customers) _____ Customer ID (for existing customers) _____

[illegible][illegible]

I / We the undersigned hereby declare that our company/ holding company is listed on _____ Stock Exchange.

Name of the Holding Company, M/s _____

In case of Any Entity other than a Listed Company, Section – I, and if applicable, Section – II to be ticked and filled in.

I / We, the undersigned, hereby declare that following persons own Controlling Ownership Interest of more than 10% in case of Company, 10 % or more in case of Trust, more than 10% in case of Partnership Firm and more than 15% in case of Unincorporated Association or Body of Individuals. (Refer Notes - A)

Please use additional sheet in case of more information to disclose

Applicable only if there is / are non-individual shareholder(s) / partners etc. having controlling ownership interest in excess of the prescribed threshold as declared in **Section - I (i)**

Following persons(s), have controlling ownership interest in Beneficial Owner of the Entity as declared in **Section - I (i)** above.

Please use additional sheet in case of more information to disclose

Section – II

Applicable, if no person has controlling ownership in excess of the prescribed threshold as declared in **Section – I.**

Please provide the details of natural person(s) holding the position of Directors/ Senior Management in the entity (e.g., Directors/ Partners/ Trustees/ etc.) details in Section I (ii).

☐ I / We declare that no person / entity holds controlling ownership in the captioned company in excess of the threshold limit prescribed below.

Authorised Signatory (ies) (i) _____

Signature _____

Authorised Signatory (ies) (ii) _____

Signature _____

Authorised Signatory (ies) (ii) _____

Signature _____

A. As per the Prevention of Money laundering (Maintenance of Records) Rules, 2005,

The beneficial owner for the purpose of sub-rule (1) shall be determined as under –

- (a) Where the client is a **Company**, the beneficial owner is the **natural person(s)**, who, whether acting alone or together, or through one or more juridical person, has a **controlling ownership interest** or who **exercises control through other means**. Explanation - For the purpose of this sub-clause-
 - i. **"Controlling ownership interest"** means **ownership of or entitlement to more than 10% of shares or capital or profits** of the company;
 - ii. **"Control"** shall include the **right to appoint majority of the directors or to control the management or policy decisions** including by virtue of their shareholding or management rights or shareholders' agreements or voting agreements;
- (b) Where the client is a **Partnership firm**, the beneficial owner is the **natural person(s)**, who, whether acting alone or together, or through one or more juridical person, has **ownership of / entitlement to more than 10% of capital or profits** of the partnership;
- (c) Where the client is an **Unincorporated Association or Body of Individuals**, the beneficial owner is the **natural person(s)**, who, whether acting alone or together, or through one or more juridical person, has **ownership of or entitlement to more than 15% of the property or capital or profits** of such association or body of individuals;
- (d) Where **no natural person is identified under (a) or (b) or (c) above**, the beneficial owner is the **relevant natural person who holds the position of senior managing official**;
- (e) Where the client is a **Trust**, the identification of beneficial owner(s) shall include **identification of the author of the trust, the trustee, the beneficiaries with ten percent or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership**; and
- (f) Where the customer or the owner of the controlling interest is a **Company listed on a stock exchange in India, or is a subsidiary of such a company, or is an entity resident in jurisdictions notified by the Central Government and listed on stock exchanges in such jurisdictions**, it is NOT necessary to identify and verify the identity of any shareholder or beneficial owner of such companies.

B. Beneficial Ownership Declaration is NOT required in following cases:

Individual accounts, Sole Proprietorship accounts, HUF, Government Departments, Public Sector Undertaking, Local Government Bodies (Municipal Corporation, Gram Panchayats etc.), Company listed on a recognized stock exchange. An entity resident in jurisdictions notified by the Central Government and listed on stock exchanges in such jurisdictions Majority owned subsidiary of a Company listed on a recognized stock exchange.

C. Beneficial Ownership Declaration to provide details of following

Partnership Firm / LLP: natural persons / partners,	Club: Members / Authorised Signatories / Beneficiary
Trust: Trustees / Settlor / Protector / Beneficiary	Association of Persons: Members / Auth. Signatories / Beneficiary
Foundation: Founder managers/Directors / Beneficiary	Pvt. Ltd companies and Unlisted Public Ltd Companies: Shareholder / Directors / Other Beneficiaries
Society: Members/Authorised Signatories / Beneficiary	In case of Foreign Entity: Shareholder/Director/Other Beneficiaries

D. Signature on Declaration form

Sr. No.	Type of Entity	Signatory (ies) for Signing BO Declaration
i	Partnership Firm / LLP / Trust / Foundation / Society / Club	Authorised Signatories should sign as per the Mode of Operations
ii	Association	All Authorised Signatories should sign
iii	Unlisted Public Limited Companies and Private Limited Companies	Any two Directors or Company Secretary or Authorised Signatories as per Mode of Operations
iv	Foreign Entity	All Authorized Signatories should sign

E. KYC Documents of Beneficial Owners should be submitted as per the OVD under PMLA and RBI Guidelines.

- (1) One recent Photograph
- (2) PAN or Form 60 if PAN is not allotted
- (3) Certified Copy * of one of the Officially Valid Documents (OVDs) listed below:

Sr. No.	Proof of Identity (PoI)	Proof of Address (PoA)
i	Valid Passport	Valid Passport
ii	Valid Driving License	Valid Driving License
iii	Voter's Identity Card issued by Election Commission of India	Voter's Identity Card issued by Election Commission of India
iv	Proof of possession of Aadhaar Number (i.e. Aadhaar letter downloaded from UIDAI website, Aadhaar card) **	Proof of possession of Aadhaar Number (i.e. Aadhaar letter downloaded from UIDAI website, Aadhaar card) **
v	Job Card issued by NREGA duly signed by an officer of the State Government	Job Card issued by NREGA duly signed by an officer of the State Government
vi	-	Letter issued by the National Population Register containing details of Name, Address of the customer

* Obtaining a Certified Copy by Reporting Entity (this includes our Company) means comparing the copy of Officially Valid Document (OVD) so produced by the client (i.e. customer) with its Original and recording the same on the copy by the authorised officer of the Reporting Entity"

** To Ensure that the Aadhaar No. (on copy of Aadhaar Letter/Aadhaar Card obtained), must be redacted or blackened and it is not legible and the Aadhaar No. should not be entered/stored in any system.

Vernacular Declaration Form



Application Acknowledgement

Name of Applicant				F	I	R	S	T					M	I	D	D	L	E					L	A	S	T					
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[illegible]

Amount INR

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 Tenor

--	--

 Months ROI _____%

Bank name _____ Branch _____ Account type _____

Bank account No. IFSC Transaction date

Write to us at wecare@bajajfinserv.in or call our IVR on 8698 01 01 01

This application acknowledgement is valid only till the issuance / rejection of the Fixed Deposit Receipt.

For BAJAJ FINANCE LTD

*Rate of Interest applicable and Interest payable on a Deposit will be calculated from the date of receipt/realization of amount by BFL.